I TENT COOPERATION TREATY

	From the INTERNATIONAL BUREAU
PCT	То:
NOTIFICATION OF ELECTION (PCT Rule 61.2)	Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ETATS-UNIS D'AMERIQUE
Date of mailing (day/month/year) 25 October 2000 (25.10.00)	in its capacity as elected Office
International application No. PCT/US00/02198	Applicant's or agent's file reference MCA-389 PC
International filing date (day/month/year) 27 January 2000 (27.01.00)	Priority date (day/month/year) 29 January 1999 (29.01.99)
Applicant CHENG, Kwok-Shun et al	
in a notice effecting later election filed with the Interest. The election X was was not was not was was not Rule 32.2(b).	00 (10.08.00)
The International Bureau of WIPO	Authorized officer
34, chemin des Colombettes 1211 Geneva 20, Switzerland	R. Forax
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

From the INTERNATIONAL SEARCHING AUTHORITY

MILLIPORE CORPORATION

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT

Attn. HUBBARD, J. 80 Ashby Road Bedford, Massachusetts 01730 UNITED STATES OF AMERICA	OR THE DECLARATION (PCT Rule 44.1)	
	Date of mailing (day/month/year) 19/07/2000	
Applicant's or agent's file reference		
MCA-389 PC	FOR FURTHER ACTION See paragraphs 1 and 4 below	
International application No.	International filing date	
PCT/US 00/02198	(day/month/year) 27/01/2000	
Applicant		
MILLIPORE CORPORATION et al.		
applicant's request to forward the texts of both the prof	ally 2 months from the date of transmittal of the stails, see the notes on the accompanying sheet. In Report will be established and that the declaration under small fee(s) under Rule 40.2, the applicant is notified that: In transmitted to the International Bureau together with the destand the decision thereon to the designated Offices.	
no decision has been made yet on the protest; the app	licant will be notified as soon as a decision is made.	
4. Further action(s): The applicant is reminded of the following:		
Shortly after 18 months from the priority date, the international ap if the applicant wishes to avoid or postpone publication, a notice priority claim, must reach the International Bureau as provided completion of the technical preparations for international publica	of withdrawal of the international application, or of the in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the tition.	
Within 19 months from the priority date, a demand for internation wishes to postpone the entry into the national phase until 30 mo	nths from the priority date (in some Offices even later).	
Within 20 months from the priority date, the applicant must perfor before all designated Offices which have not been elected in the priority date or could not be elected because they are not bound	e demand or in a later election within 19 months from the	

Name and mailing address of the International Searching Authority European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer

Renate Jordan



INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	(Form PCT/IS	on of Transmittal of International Search Report SA/220) as well as, where applicable, item 5 below.			
MCA-389 PC	ACTION				
International application No. International filing date (day/month/year) (Earliest) Priority Date (day/month/year)					
PCT/US 00/02198 27/01/2000 29/01/1999					
Applicant					
MILLIPORE CORPORATION et	al.				
This International Search Report has been according to Article 18. A copy is being tra		Authority and is transmitted to the applicant			
This International Search Report consists X It is also accompanied by	of a total of sheets. a copy of each prior art document cited in	this report.			
Basis of the report					
 a. With regard to the language, the language in which it was filed, unl 	international search was carried out on the ess otherwise indicated under this item.	e basis of the international application in the			
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation	of the international application furnished to this			
was carried out on the basis of th	e sequence listing :	ne international application, the international search			
	onal application in written form.	torm			
	filed together with the international application in computer readable form.				
furnished subsequently to this Authority in written form. furnished subsequently to this Authority in computer readble form.					
the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.					
the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished					
2. Certain claims were fou	nd unsearchable (See Box I).				
3. Unity of Invention is lac	king (see Box II).				
4. With regard to the title,					
X the text is approved as su	bmitted by the applicant.				
the text has been establis	hed by this Authority to read as follows:				
5. With regard to the abstract,					
	X the text is approved as submitted by the applicant.				
		thority as it appears in Box III. The applicant may, n report, submit comments to this Authority.			
6. The figure of the drawings to be pub	lished with the abstract is Figure No.				
as suggested by the appl	icant.	None of the figures.			
because the applicant fail	ed to suggest a figure.				
because this figure better characterizes the invention.					

NATIONAL SEARCH REPORT

formation on patent family members

ernational Application No

Patent family Publication Publication Patent document date member(s) cited in search report date US 4902456 A 20-02-1990 16-07-1991 Α US 5032274 05-02-1991 US 4990294 A 10-08-1995 DE 68923319 04-01-1996 DE 68923319 08-11-1989 EP 0340732 A 30-10-1996 JP 2548092 B JP 8034874 A 06-02-1996 2208329 17-08-1990 JP Α JP 2573524 B 22-01-1997 06-03-1990 US 4906377 A 03-02-1989 JP 1034407 A 29-11-1989 EP 343247 Α 21-10-1994 1880117 C JP JP 30-10-1992 4068010 B 03-02-1989 JP 1034408 A 26-09-1994 JP 1872522 C 30-10-1992 JP 4068011 B 22-05-1989 JP 1129043 A 28-07-1995 JP 1956346 C 07-09-1994 JP 6070155 B 08-04-1993 DE 3878899 A 22-07-1993 DE 3878899 T 09-02-1989 WO 8900879 A 27-10-1992 US 5158680 A US 5286324 A 15-02-1994 20-02-1990 US 4990294 Α 05-02-1991 US 4902456 A 16-07-1991 5032274 A US 10-08-1995 DE 68923319 D 04-01-1996 DE 68923319 T EP 08-11-1989 0340732 A JP 30-10-1996 2548092 B 06-02-1996 JP 8034874 A 17-08-1990 JP 2208329 A 22-01-1997 JP 2573524 B US 06-03-1990 4906377 A 20-02-1990 EP 340732 Α 08-11-1989 US 4902456 A DE 68923319 D 10-08-1995 04-01-1996 DE 68923319 T JP 30-10-1996 2548092 B JP 06-02-1996 8034874 A JP 2208329 A 17-08-1990 JP 2573524 B 22-01-1997 US 4906377 A 06-03-1990 US 4990294 A 05-02-1991 US 5032274 A 16-07-1991 US 4902456 Α 20-02-1990 DE 68923319 D 10-08-1995 DE 68923319 04-01-1996 EP 0340732 A 08-11-1989 JP 2548092 B 30-10-1996 JP 06-02-1996 8034874 A JP 2208329 A 17-08-1990 22-01-1997 JP 2573524 B 06-03-1990 US 4906377 A US 4990294 A 05-02-1991 5032274 A 16-07-1991 US

NATIONAL SEARCH REPORT



ernational Application No

CLASSIFICATION OF SUBJECT MATTER IPC 7 B01D71/36 D01D5/24 B01D67/00 B01D69/08 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 7 B01D D01D Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) WPI Data, PAJ, EPO-Internal C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages 1-6 US 5 032 274 A (L.Y.YEN ET AL) Α 16 July 1991 (1991-07-16) cited in the application claims; examples 1 - 29EP 0 343 247 A (TORAY INDUSTRIES, INC) Α 29 November 1989 (1989-11-29) claims; examples & US 5 158 680 A 27 October 1992 (1992-10-27) cited in the application US 4 990 294 A (L.Y.YEN ET AL) 7-19 Α 5 February 1991 (1991-02-05) cited in the application claims; examples Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents : "T" later document published after the international filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled "O" document referring to an oral disclosure, use, exhibition or in the art. document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 11 July 2000 19/07/2000 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Cordero Alvarez, M

1





rnational Application No CT/US 00/02198

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Category Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No.				
Category °	Citation of document, with indication, where appropriate, of the relevant passages			
A	EP 0 340 732 A (MILLIPORE CORPORATION) 8 November 1989 (1989-11-08) claims; examples & US 4 906 377 A 6 March 1990 (1990-03-06) cited in the application & US 4 990 294 A cited in the application & US 5 032 274 A cited in the application	1-29		
Α	US 4 902 456 A (L.Y.YEN ET AL) 20 February 1990 (1990-02-20) claims; examples	7–19		
•	·			
		·		

1

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international polication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been its filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
 "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
 "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
 "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

PATENT COOPERATION TRE ...Y

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From the INTERNATIONAL PRELIMINARY EX	AMINING AUTHORITY	INGEGANGE	
To:		1 1. April 2001 PCT NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT Rule 71.1)	
		Date of mailing (day/month/year)	10.04.2001
Applicant's or agent's file reference MCA-389 PC		IM	PORTANT NOTIFICATION
International application No. PCT/US00/02198 International filing date (a 27/01/2000		ay/month/year)	Priority date (day/month/year) 29/01/1999
Applicant MILLIPORE CORPORATION et a	i		

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

Authorized officer Fuerbass, C

- European Patent Office D-80298 Munich

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Fax: +49 89 2399 - 4465

Tel.+49 89 2399-8132



PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's of MCA-389	or agent's file reference PC	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
Internationa	I application No.	International filing date (day/month/	/year) Priority date (day/month/year)
PCT/US0	, ,	27/01/2000	29/01/1999
nternationa B01D71/0		or national classification and IPC	
MILLIPOI	RE CORPORATION	et al.	
and is	transmitted to the appli	cant according to Article 36.	by this International Preliminary Examining Authority
		otal of 7 sheets, including this cover st	
b	een amended and are ti	panied by ANNEXES, i.e. sheets of th ne basis for this report and/or sheets c tion 607 of the Administrative Instruction	e description, claims and/or drawings which have containing rectifications made before this Authority ons under the PCT).
These	e annexes consist of a to	otal of sheets.	
3. This r	eport contains indication	ns relating to the following items:	
1	Basis of the repo	rt	
11	☐ Priority		
H	Non-establishme	nt of opinion with regard to novelty, inv	ventive step and industrial applicability
IV	Lack of unity of in		
V	☐ Reasoned stater citations and exp	nent under Article 35(2) with regard to lanations suporting such statement	novelty, inventive step or industrial applicability;
VI	☐ Certain docume	nts cited	
VII	☑ Certain defects i	n the international application	
VIII		ions on the international application	
Date of sui	bmission of the demand	Date of	completion of this report
Date Of Su	Dimension of the demand	5210 51	•
10/08/20	000	10.04.2	2001
Name and	mailing address of the Inte	mational Authori	ized officer
premmary	European Patent Office		The sales
(M)	D-80298 Munich	Lueth	ie, H
	Tel. +49 89 2399 - 0 Tx		

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/02198

ı.	Bas	is of the report				
1.	With regard to the elements of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)): Description, pages:					
	1-32	2	as originally filed			
	Clai	ims, No.:				
	1-29	9	as originally filed			
	Dra	wings, sheets:				
	1/13	3-13/13	as originally filed			
		÷				
2.	With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.					
	The	se elements were	available or furnished to this Authority in the following language: , which is:			
		the language of a	translation furnished for the purposes of the international search (under Rule 23.1(b)).			
		the language of p	ublication of the international application (under Rule 48.3(b)).			
		the language of a 55.2 and/or 55.3).	translation furnished for the purposes of international preliminary examination (under Rule			
3.			cleotide and/or amino acid sequence disclosed in the international application, the ry examination was carried out on the basis of the sequence listing:			
		contained in the ir	nternational application in written form.			
		filed together with	the international application in computer readable form.			
		furnished subsequ	uently to this Authority in written form.			
	☐ furnished subsequently to this Authority in computer readable form.					
	☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.					
		The statement the listing has been for	at the information recorded in computer readable form is identical to the written sequence urnished.			
4.	The	amendments have	e resulted in the cancellation of:			
		the description,	pages:			
		the claims,	Nos.:			

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/US00/02198

☐ the drawings, shee	ts:
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5. This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 9-13,16,18,19

No:

Claims 1-8,14,15,17,20-29

Inventive step (IS)

Yes: No:

Claims

Claims 1-29

Industrial applicability (IA)

Yes:

Claims 1-29

No: Claims

2. Citations and explanations see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

INTERNATIONAL PRELIMINARY International application No. PCT/US00/02198 EXAMINATION REPORT - SEPARATE SHEET

Re Item VIII

Certain observations on the international application

- 1. The application does not meet the requirements of Article 6 PCT, because claims 1-4, 20, 26 and 27 are not clear.
- 1.1. Although the claims 1, 20, 26 and 27 have been drafted as separate independent product claims, they appear to relate effectively to the same subject-matter and to differ from each other only with regard to the definition of the subject-matter for which protection is sought or in respect of the terminology used for the features of that subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.

In this case one claim per category is appropriate.

- 1.2. The applicant's attention is further drawn to the fact that the wording of claim 20 differs from that of claim 1 solely in that claim 20 refers to the method of claims 7-13.
 - It is presently not clear whether
 - a) one of claims 1 or 20 is simply redundant or if
 - b) by formulating two different claims having the same features the applicant indented to express that the hollow fiber membrane of claim 1 is not obtainable by the method of claims 7-13.
 - In any case a) or b) a lack of clarity would arise (Article 6 PCT).
- 1.3. In claims 1, 3, 4 and 20 it is attempted to characterise the subject-matter of said claims by the parameter "IPA flow time". The only reference in the description which is clearly related to the significance of this parameter is "Low flow times relate to higher membrane permeability and shorter filtration times" (cf. page 29, lines 11-12).
 - It is on the other hand not clear whether the information "The time interval to collect a set amount of IPA permeate is recorded" (cf. page 22, lines 28-29 under "Flow Rate Test") relates to the 'IPA flow time' at all.

INTERNATIONAL PRELIMINARY

International application No. PCT/US00/02198

EXAMINATION REPORT - SEPARATE SHEET

Since the parameter 'IPA flow time' is not clearly defined it introduces an ambiguity about the scope of claims 1, 3, 4 and 20 and, thus, is not suitable to characterize their subject-matter (and see point 1.4 below).

- 1.4. In claims 1, 3, 4 and 20 it is presently attempted to define a product, here a "hollow fiber porous membrane", by a parameter, i.e. the "IPA flow time". This is, however, only allowable in those cases where
 - A) those features cannot be adequately defined in any other way and
 - B) the corresponding parameters can be clearly and reliably determined either by indications in the description or by objective procedures which are usual in the art (cf. the PCT-Guidelines III-4.7a).

In this case neither the condition A) nor B) is met.

1.5. The term "about" used in claims 1, 2, 3, 20 and 27 is vague and indefinite and, as such, renders the claim unclear (Article 6 PCT), especially when used to characterize a range (cf. claim 27).

Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. Reference is made to the following documents:
 - D1: EP-A-0 343 247 (TORAY INDUSTRIES,INC) 29 November 1989 (1989-11-29) & US 5 158 680 A 27 October 1992 (1992-10-27) cited in the application
 - D2: EP-A-0 340 732 (MILLIPORE CORPORATION) 8 November 1989 (1989-11-08) & US 4 906 377 A 6 March 1990 (1990-03-06) cited in the application & US 4 990 294 A cited in the application & US 5 032 274 A cited in the application
 - D3: US-A-5 032 274 (L.Y.YEN ET AL) 16 July 1991 (1991-07-16) cited in the application.

The following document has come to the attention of the authorized officer: D4: US-A-5490931 (HOECHST CELANESE CORP.) 13 February 1996 (1996-02-

INTERNATIONAL PRELIMINARY International application No. PCT/US00/02198 EXAMINATION REPORT - SEPARATE SHEET

13).

- 2. In so far as what can be understood from the claims (see point VIII above):
 The present application does not satisfy the criterion set forth in Article 33 (2) PCT because the subject-matter of claims 1-8, 14, 15, 17 and 20-29 is not new as defined in the regulations (Rule 64 (1)-(3) PCT).
- 2.1. Present claim 7 obviously refers to a wet spinning method of hollow fibers from perfluorinated thermoplastic polymers (cf. page 14, line 28 to page 15, line 8;), i.e. a method with "a portion of said die being submerged in a cooling bath" (claim 7, step b)) characterizing a "submerged extrusion" (page 15, line 7).

This method however is anticipated, independently from each other, by the methods disclosed in D1 (cf. col. 4, line 58 to col. 5, line 12), D2 (cf. page 3, line 36 to page 6, line 14; the examples; "The imbibing extraction liquid can also function as a quench medium such that the molten film or hollow fibers can be extruded directly into it", page 4, lines 5-6),D3 (cf. col. 4, lines 54-64) and D4 (cf. col. 4, lines 48-50, example 1).

According to D1, D2, D3 and D4 the produced membranes are of perfluorinated thermoplastic polymers (D1: col. 8, line 37 to col. 9, line 5; the claims; D2: see the citations above and claims 1, 4-7; D3: examples; claims; D4: col. 2, line 63 to col.3, line 24). D1 and D2 further disclose, independently from each other, the specific polymers as disclosed by present claims 14 and 15 and the bath liquid of present claim 17.

Thus, as D1, D2, D3 and D4, independently from each other, disclose all the technical features defined by the subject-matter of claims 7 and 8 of the present application, and D1, D2 and D3, independently from each other, further disclose all the technical features defined by the subject-matter of claims 14, 15 and 17 of the present application, said subject-matter is not new in respect of prior art as defined in the regulations (Rule 64(1)-(3) PCT).

2.2. It is an established physical principle that the same materials treated by the same process (se point 2.1 above) lead to the same products with identical technical properties.

INTERNATIONAL PRELIMINARY International application No. PCT/US00/02198 EXAMINATION REPORT - SEPARATE SHEET

In accordance with this principle and taking into account the teachings of D1, D2 and D3 (independently from each other) and the present application one would expect the products as disclosed by the present application and the prior art documents to have the same properties, including the IPA flow time (see present claims 1, 20) and the Sherwood- and Graetz numbers (see present claim 27). The prior art documents (see for example D3: col. 3, lines 4-14; col. 4, line 65 to col 5, line 26; col. 6, line 64 to col. 7, line 9; examples 1,3 and 6) further disclose both symmetric and asymmetric membranes.

Hence, as D1, D2 and D3, independently from each other, disclose all the technical features defined by the subject-matter of claims 1-6 and 20-29 of the present application, said subject-matter is not new in respect of prior art as defined in the regulations (Rule 64(1)-(3) PCT).

2.3. Presently it seems that dependent claims 9-13, 16, 18 and 19 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of inventive step.

Re Item VII

Certain defects in the international application

The applicant's attention is further drawn to the fact that presently the application lack unity since, the subject-matter of claims 1, 7, 20, 26 and 27 is not so linked as to form a single general inventive concept (Rule 13.1 PCT) since a technical relationship involving one or more of the same or corresponding special technical features in the sense of Rule 13.2 PCT does not exist between said subjects (see point V above)

PCT

REC'D 1 2 APR 2001

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's	or agent's file reference	1			
MCA-38	_	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)		
Internation	al application No.	International filing date (day/mont	h/year) Priority date (day/month/year)		
	00/02198	27/01/2000	29/01/1999		
Internation B01D71	al Patent Classification (IPC) or n. 700	ational classification and IPC			
Applicant					
MILLIPC	RE CORPORATION et al.				
	international preliminary exams s transmitted to the applicant		d by this International Preliminary Examining Authority		
2. This	REPORT consists of a total of	f 7 sheets, including this cover s	heet.		
(—				
3. This report contains indications relating to the following items:					
II ☐ Priority III ☐ Non-establishment of opin		rainian with regard to novelty in	ventive step and industrial applicability		
IV	☐ Lack of unity of invention		remire step and industrial applicability		
V	⊠ Reasoned statement u		novelty, inventive step or industrial applicability;		
VI	☐ Certain documents cite				
VII	Certain defects in the in	nternational application			
VIII	VIII Certain observations on the international application				
Date of sub	mission of the demand	Date of o	completion of this report		
10/08/20	00	10.04.20	001		
Name and preliminary	nailing address of the internationa examining authority:	Authoriz	ed officer		
<u></u>	European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 Fax: +49 89 2399 - 4465		r, H ne No. +49 89 2399 7519		



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/02198

 Basis of the re

1.	 With regard to the elements of the international application (Replacement sheets which have been furnished the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally file and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)): Description, pages: 					
	1-3	2	as originally filed			
	Cla	ims, No.:				
	1-2	9	as originally filed			
	Dra	wings, sheets:				
	1/1:	3-13/13	as originally filed			
2.		-	uage, all the elements marked above were available or furnished to this Authority in the nternational application was filed, unless otherwise indicated under this item.			
	These elements were available or furnished to this Authority in the following language: , which is:					
		the language of a t	ranslation furnished for the purposes of the international search (under Rule 23.1(b)).			
		☐ the language of publication of the international application (under Rule 48.3(b)).				
		the language of a to 55.2 and/or 55.3).	ranslation furnished for the purposes of international preliminary examination (under Rule			
3.			leotide and/or amino acid sequence disclosed in the international application, the y examination was carried out on the basis of the sequence listing:			
		contained in the int	ternational application in written form.			
		filed together with t	the international application in computer readable form.			
		furnished subsequ	ently to this Authority in written form.			
		☐ furnished subsequently to this Authority in computer readable form.				
		☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.				
		The statement that listing has been fur	the information recorded in computer readable form is identical to the written sequence nished.			
4.	The	amendments have	resulted in the cancellation of:			
		the description,	pages:			
		the claims,	Nos.:			



International application No. PCT/US00/02198

		the drawings,	sheets:			
5.	5. This report has been established as if (some of) the amendments had not been made, since they hav considered to go beyond the disclosure as filed (Rule 70.2(c)):				· · · · · · · · · · · · · · · · · · ·	
		(Any replacement she report.)	eet contail	ning such	amendments must be referred to under item 1 and annexed to this	
6.	Add	litional observations, if	f necessar	y:		
V.		easoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; ations and explanations supporting such statement				
1.	Stat	ement				
	Nov	relty (N)	Yes: No:		9-13,16,18,19 1-8,14,15,17,20-29	
	Inve	entive step (IS)	Yes: No:	Claims Claims	1-29	
	Indu	ıstrial applicability (IA)	Yes: No:	Claims Claims	1-29	

VII. Certain defects in the international application

2. Citations and explanations see separate sheet

The following defects in the form or contents of the international application have been noted: see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

Re Item VIII

Certain observations on the international application

- 1. The application does not meet the requirements of Article 6 PCT, because claims 1-4, 20, 26 and 27 are not clear.
- 1.1. Although the claims 1, 20, 26 and 27 have been drafted as separate independent product claims, they appear to relate effectively to the same subject-matter and to differ from each other only with regard to the definition of the subject-matter for which protection is sought or in respect of the terminology used for the features of that subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.

In this case **one** claim per category is appropriate.

1.2. The applicant's attention is further drawn to the fact that the wording of claim 20 differs from that of claim 1 solely in that claim 20 refers to the method of claims 7-13.

It is presently not clear whether

- a) one of claims 1 or 20 is simply redundant or if
- b) by formulating two different claims having the same features the applicant indented to express that the hollow fiber membrane of claim 1 is not obtainable by the method of claims 7-13.

In any case a) or b) a lack of clarity would arise (Article 6 PCT).

1.3. In claims 1, 3, 4 and 20 it is attempted to characterise the subject-matter of said claims by the parameter "IPA flow time". The only reference in the description which is clearly related to the significance of this parameter is "Low flow times relate to higher membrane permeability and shorter filtration times" (cf. page 29, lines 11-12).

It is on the other hand not clear whether the information "The time interval to collect a set amount of IPA permeate is recorded" (cf. page 22, lines 28-29 under "Flow Rate Test") relates to the 'IPA flow time' at all.

Since the parameter 'IPA flow time' is not clearly defined it introduces an ambiguity about the scope of claims 1, 3, 4 and 20 and, thus, is not suitable to characterize their subject-matter (and see point 1.4 below).

- 1.4. In claims 1, 3, 4 and 20 it is presently attempted to define a product, here a "hollow fiber porous membrane", by a parameter, i.e. the "IPA flow time". This is, however, only allowable in those cases where
 - A) those features cannot be adequately defined in any other way and
 - B) the corresponding parameters can be clearly and reliably determined either by indications in the description or by objective procedures which are usual in the art (cf. the PCT-Guidelines III-4.7a).
 - In this case neither the condition A) nor B) is met.
- 1.5. The term "about" used in claims 1, 2, 3, 20 and 27 is vague and indefinite and, as such, renders the claim unclear (Article 6 PCT), especially when used to characterize a range (cf. claim 27).

Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. Reference is made to the following documents:
 - D1: EP-A-0 343 247 (TORAY INDUSTRIES,INC) 29 November 1989 (1989-11-29) & US 5 158 680 A 27 October 1992 (1992-10-27) cited in the application
 - D2: EP-A-0 340 732 (MILLIPORE CORPORATION) 8 November 1989 (1989-11-08) & US 4 906 377 A 6 March 1990 (1990-03-06) cited in the application & US 4 990 294 A cited in the application & US 5 032 274 A cited in the application
 - D3: US-A-5 032 274 (L.Y.YEN ET AL) 16 July 1991 (1991-07-16) cited in the application.

The following document has come to the attention of the authorized officer: D4: US-A-5490931 (HOECHST CELANESE CORP.) 13 February 1996 (1996-02**EXAMINATION REPORT - SEPARATE SHEET**

13).

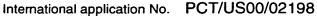
- 2. In so far as what can be understood from the claims (see point VIII above): The present application does not satisfy the criterion set forth in Article 33 (2) PCT because the subject-matter of claims 1-8, 14, 15, 17 and 20-29 is not new as defined in the regulations (Rule 64 (1)-(3) PCT).
- 2.1. Present claim 7 obviously refers to a wet spinning method of hollow fibers from perfluorinated thermoplastic polymers (cf. page 14, line 28 to page 15, line 8;), i.e. a method with "a portion of said die being submerged in a cooling bath" (claim 7, step b)) characterizing a "submerged extrusion" (page 15, line 7).

This method however is anticipated, independently from each other, by the methods disclosed in D1 (cf. col. 4, line 58 to col. 5, line 12), D2 (cf. page 3, line 36 to page 6, line 14; the examples; "The imbibing extraction liquid can also function as a quench medium such that the molten film or hollow fibers can be extruded directly into it", page 4, lines 5-6),D3 (cf. col. 4, lines 54-64) and D4 (cf. col. 4, lines 48-50, example 1).

According to D1, D2, D3 and D4 the produced membranes are of perfluorinated thermoplastic polymers (D1: col. 8, line 37 to col. 9, line 5; the claims; D2: see the citations above and claims 1, 4-7; D3: examples; claims; D4: col. 2, line 63 to col.3, line 24). D1 and D2 further disclose, independently from each other, the specific polymers as disclosed by present claims 14 and 15 and the bath liquid of present claim 17.

Thus, as D1, D2, D3 and D4, independently from each other, disclose all the technical features defined by the subject-matter of claims 7 and 8 of the present application, and D1, D2 and D3, independently from each other, further disclose all the technical features defined by the subject-matter of claims 14, 15 and 17 of the present application, said subject-matter is not new in respect of prior art as defined in the regulations (Rule 64(1)-(3) PCT).

2.2. It is an established physical principle that the same materials treated by the same process (se point 2.1 above) lead to the same products with identical technical properties.



EXAMINATION REPORT - SEPARATE SHEET

In accordance with this principle and taking into account the teachings of D1, D2 and D3 (independently from each other) and the present application one would expect the products as disclosed by the present application and the prior art documents to have the same properties, including the IPA flow time (see present claims 1, 20) and the Sherwood- and Graetz numbers (see present claim 27). The prior art documents (see for example D3: col. 3, lines 4-14; col. 4, line 65 to col 5, line 26; col. 6, line 64 to col. 7, line 9; examples 1,3 and 6) further disclose both symmetric and asymmetric membranes.

Hence, as D1, D2 and D3, independently from each other, disclose all the technical features defined by the subject-matter of claims 1-6 and 20-29 of the present application, said subject-matter is not new in respect of prior art as defined in the regulations (Rule 64(1)-(3) PCT).

2.3. Presently it seems that dependent claims 9-13, 16, 18 and 19 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of inventive step.

Re Item VII

Certain defects in the international application

The applicant's attention is further drawn to the fact that presently the application lack unity since, the subject-matter of claims 1, 7, 20, 26 and 27 is not so linked as to form a single general inventive concept (Rule 13.1 PCT) since a technical relationship involving one or more of the same or corresponding special technical features in the sense of Rule 13.2 PCT does not exist between said subjects (see point V above)



INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.							
MCA-389 PC	- ACTION							
International application No.	International filing date (day/month/year) (Earliest) Priority Date (day/month/year)							
PCT/US 00/02198	27/01/2000 29/01/1999							
Applicant								
MILLIDORE CORRORATION :	-1							
MILLIPORE CORPORATION et	d1.							
	The second secon	varies and in transmitted to the confidence						
This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.								
This International Search Report consists	of a total of 3 sheets.							
· —	a copy of each prior art document cited in this	report.						
Basis of the report With regard to the language, the	international search was carried out on the bas	sis of the international application in the						
tanguage in which it was filed, uni	international search was carried out on the bas less otherwise indicated under this item.	оо от то плотиновы арричион и ше						
the international search w Authority (Rule 23.1(b)).	vas carried out on the basis of a translation of the	he international application furnished to this						
b. With regard to any nucleotide an		nternational application, the international search						
 b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing: contained in the international application in written form. 								
		n.						
filed together with the international application in computer readable form. furnished subsequently to this Authority in written form. furnished subsequently to this Authority in computer readble form.								
ł L								
the statement that the sul international application a	bsequently furnished written sequence listing d as filed has been furnished.	loes not go beyond the disclosure in the						
•	international application as filed has been furnished. the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished							
2. Certain claims were fou	ind unsearchable (See Box I).							
3. Unity of invention is lac	king (see Box II).							
A Mish spaced to the title								
4. With regard to the title, the text is approved as su	ibmitted by the applicant.							
=	shed by this Authority to read as follows:							
	,							
E With record to the chataca								
5. With regard to the abstract ,	ubmitted by the applicant							
the text is approved as submitted by the applicant. the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.								
6. The figure of the drawings to be pub								
as suggested by the appl		None of the figures.						
because the applicant fai								
because this figure better	r characterizes the invention.							

INTERMIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 B01D69/08 R01F B01D67/00 B01D71/36 D01D5/24 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 7 B01D DO1D Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) WPI Data, PAJ, EPO-Internal C. DOCUMENTS CONSIDERED TO BE RELEVANT Category ° Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Α US 5 032 274 A (L.Y.YEN ET AL) 1-6 #6 July 1991 (1991-07-16) cited in the application claims; examples Α EP 0 343 247 A (TORAY INDUSTRIES, INC) 1 - 2929 November 1989 (1989-11-29) claims; examples & US 5 158 680 A 27 October 1992 (1992-10-27) cited in the application Α US 4 990 294 A (L.Y.YEN ET AL) 7-19 5 February 1991 (1991-02-05) cited in the application claims; examples Further documents are listed in the continuation of box C. Patent family members are listed in annex. X Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or

- hich is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

19/07/2000 11 July 2000

Name and mailing address of the ISA

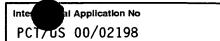
European Patent Office, P.B. 5818 Patentiaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer

Cordero Alvarez, M

Date of mailing of the international search report





		PC1/US 00/02198					
C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT							
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.					
A	EP 0 340 732 A (MILLIPORE CORPORATION) 8 November 1989 (1989-11-08) claims; examples & US 4 906 377 A 6 March 1990 (1990-03-06) cited in the application & US 4 990 294 A cited in the application & US 5 032 274 A cited in the application	1-29					
	US 4 902 456 A (L.Y.YEN ET AL) 20 February 1990 (1990-02-20) claims; examples	7-19					

INTERNATIONAL SEARCH REPORT

on patent family members

Internal Application No PC17US 00/02198

Patent document cited in search report	F	Publication date		atent family member(s)		Publication date
US 5032274	A 16	5-07-1991	US US DE DE EP JP JP JP JP	4902456 4990294 68923319 68923319 0340732 2548092 8034874 2208329 2573524 4906377	A D T A B A A B	20-02-1990 05-02-1991 10-08-1995 04-01-1996 08-11-1989 30-10-1996 06-02-1996 17-08-1990 22-01-1997 06-03-1990
EP 343247	A 29	9-11-1989	JP JP JP JP JP JP DE DE WO US	1034407 1880117 4068010 1034408 1872522 4068011 1129043 1956346 6070155 3878899 3878899 8900879 5158680 5286324	C B A C B A C B A T A A	03-02-1989 21-10-1994 30-10-1992 03-02-1989 26-09-1994 30-10-1992 22-05-1989 28-07-1995 07-09-1994 08-04-1993 22-07-1993 09-02-1989 27-10-1992 15-02-1994
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EP 340732	A 0	3-11-1989	US DE DE JP JP JP US US	4902456 68923319 68923319 2548092 8034874 2208329 2573524 4906377 4990294 5032274	D T B A A B A	20-02-1990 10-08-1995 04-01-1996 30-10-1996 06-02-1996 17-08-1990 22-01-1997 06-03-1990 05-02-1991 16-07-1991
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